

Annual Report of Non-Compliance with the Terms of the Consent Decree per Paragraph 9.c.2

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Annette Bay Ferry Terminal	AKR12A007	Southeast	5/24/2012	5/31/2012	On the 5/24/2012 inspection report the weather information since the last inspection failed to report a storm event.		7c4 - Weather and Discharges Since Last Inspection	The 5/31/2012 inspection report correctly reported the storm events for the period since the last inspection.	
Annette Bay Ferry Terminal	AKR12A007	Southeast	11/29/2012	11/29/2012	An inspection was not conducted by 11/28/2012, or within 7 days of the last inspection on 11/21/2012.		7b - Inspection Frequency	The next inspection was conducted on 11/29/2012.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Annette Bay Ferry Terminal	AKR12A007	Southeast	12/13/2012	1/17/2013	On the 12/13/2012 inspection report, a storm event that occurred in the period since the last inspection was not reported.		7c4 - Weather and Discharges Since Last Inspection	Weather since the last inspection was reported correctly on the 1/17/2013 Inspection Report.	
Annette Bay Ferry Terminal	AKR12A007	Southeast	12/20/2012	1/17/2013	On the 12/20/2012 inspection report, a storm event that occurred in the period since the last inspection was not reported.		7c4 - Weather and Discharges Since Last Inspection	Weather since the last inspection was reported correctly on the 1/17/2013 Inspection Report.	
Gustavus Airport RSA Improvements Phase 2	AKR10DR75	Southeast	1/17/2012	1/18/2012	The project was on a reduced frequency inspection schedule with inspections every 30 days. The January inspection was not conducted within 30 days of the December inspection (by 1/16/2012).		7b - Inspection Frequency	The January inspection was conducted on 1/18/2012.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Gustavus Airport RSA Improvements Phase 2	AKR10DR75	Southeast	4/16/2012	4/16/2012	The project was on a reduced frequency inspection schedule with inspections every 30 days. The April inspection was not conducted within 30 days of the March inspection (by 4/15/2012).		7b - Inspection Frequency	The April inspection was conducted on 4/16/2012.	
Gustavus Airport RSA Improvements Phase 2	AKR10DR75	Southeast	6/16/2012	6/18/2012	The project was on a reduced frequency inspection schedule with inspections every 30 days. The June inspection was not conducted within 30 days of the May inspection (by 6/15/2012).		7b - Inspection Frequency	The June inspection was conducted on 6/18/2012.	
Gustavus Airport RSA Improvements Phase 2	AKR10DR75	Southeast	8/18/2012	8/18/2012	The project was on a reduced frequency inspection schedule with inspections every 30 days. The August inspection was not conducted within 30 days of the July inspection (by 8/17/2012).		7b - Inspection Frequency	The August inspection was conducted on 8/18/2012.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Hoonah Airport Runway Extension	AKR10EG44	Southeast	9/26/2012	1/25/2013	A BMP manual or publication was not used for the Construction and Waste Materials BMP on pg 40 of the SWPPP. The SWPPP did not state that no manual or publication was used.		6b - BMP Manual Citation	The SWPPP was amended on 1/25/2013 to include the statement that no BMP manual was used to select or design this BMP.	
Hoonah Airport Runway Extension	AKR10EG44	Southeast	10/25/2012	11/1/2012	On the 10/25/2012 inspection report, the storm event information since the last inspection was reported incorrectly.		7c4 - Weather and Discharges Since Last Inspection	The 11/1/2012 inspection report correctly reported the storm events for the period since the last inspection.	
Hyder Causeway Reconstruction and Trestle Replacement	AKR10EC64	Southeast	6/22/2012	1/25/2013	A BMP manual or publication was not used for the Construction and Waste Materials BMP on pg 19 of the SWPPP. The SWPPP did not state that no manual or publication was used.		6b - BMP Manual Citation	The SWPPP was amended on 1/25/2013 to include the statement that no BMP manual was used to select or design this BMP.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Hyder Causeway Reconstruction and Trestle Replacement	AKR10EC64	Southeast	6/22/2012	1/25/2013	A BMP manual or publication was not used for the Fueling and Maintenance BMP on pgs 16-17 of the SWPPP. The SWPPP did not state that no manual or publication was used.		6b - BMP Manual Citation	The SWPPP was amended on 1/25/2013 to include the statement that no BMP manual was used to select or design this BMP.	
Hyder Salmon River Road Rehab	AKR10EB00	Southeast	5/25/2012	1/25/2013	A BMP manual or publication was not used for the Construction and Waste Materials BMP on pg 33 of the SWPPP. The SWPPP did not state that no manual or publication was used.		6b - BMP Manual Citation	The SWPPP was amended on 1/25/2013 to include the statement that no BMP manual was used to select or design this BMP.	
Hyder Salmon River Road Rehab	AKR10EB00	Southeast	5/25/2012	1/25/2013	A BMP manual or publication was not used for the Dewatering BMP on pg 26 of the SWPPP. The SWPPP did not state that no manual or publication was used.		6b - BMP Manual Citation	The SWPPP was amended on 1/25/2013 to include the statement that no BMP manual was used to select or design this BMP.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Hyder Salmon River Road Rehab	AKR10EB00	Southeast	6/12/2012	6/15/2012	The 6/12/2012 inspection report was certified by an individual that was not the designated Project Engineer at the time they certified the inspection report.		7c11 - Certification of the Inspection	The 6/15/2012 inspection report was certified by the designated Project Engineer.	
Hyder Salmon River Road Rehab	AKR10EB00	Southeast	7/3/2012	7/10/2012	On the 7/3/2012 inspection report, 3.1 through 3.3 of the overall site issue items did not indicate whether or not corrective action was needed.		7c10 - BMP Action Items and Complete-by Date	On the 7/10/2012 inspection report, all BMP Action Items were correctly identified.	
Hyder Salmon River Road Rehab	AKR10EB00	Southeast	7/6/2012	7/10/2012	On the 7/6/2012 inspection report, 3.6 of the overall site issue items did not indicate whether or not corrective action was needed.		7c10 - BMP Action Items and Complete-by Date	On the 7/10/2012 inspection report, all BMP Action Items were correctly identified.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Hyder Salmon River Road Rehab	AKR10EB00	Southeast	7/18/2012	7/24/2012	On the 7/18/2012 inspection report, 3.6 of the overall site issue items did not indicate whether or not corrective action was needed.		7c10 - BMP Action Items and Complete-by Date	On the 7/24/2012 inspection report, all BMP Action Items were correctly identified.	
JNU - Thane Road Pavement Rehab	AKR10DZ80	Southeast	4/17/2012	10/30/2012	The DOT&PF Project Engineer did not sign a SWPPP Certification prior to the commencement of construction activities on 4/17/2012.		6a2 - PE SWPPP Certification	The Project Engineer approved the SWPPP in writing on 4/6/2012; however, a SWPPP Certification was not signed by the Project Engineer before the NOT was filed.	
JNU - Thane Road Pavement Rehab	AKR10DZ80	Southeast	4/17/2012	10/30/2012	A BMP manual or publication was not used for the Construction and Waste Materials BMP on pg 38 of the SWPPP. The SWPPP did not state that no manual or publication was used.		6b - BMP Manual Citation	This project filed a NOT on 10/30/2012. The error was not identified until the project had already filed the NOT.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
JNU - Thane Road Pavement Rehab	AKR10DZ80	Southeast	10/6/2012	10/30/2012	On the 10/6/2012 inspection report, the storm event information since the last inspection was reported incorrectly.		7c4 - Weather and Discharges Since Last Inspection	There were no more inspection reports after the 10/6/2012 inspection; the project filed a NOT on 10/30/2012.	
JNU- Glacier Hwy North Eagle Beach Kayak Launch to Bessie Creek	AKR10DV29	Southeast	6/20/2012	6/20/2012	On the 6/12/2012 inspection report, a corrective action to clean the ditch at 727+65 RT had a complete-by date of 6/19/2012 and this date was not met.		8a - Completion of BMP Action Items by Date	The corrective action log shows that the ditch was cleaned on 6/20/2012.	
JNU- Glacier Hwy North Eagle Beach Kayak Launch to Bessie Creek	AKR10DV29	Southeast	7/3/2012	7/17/2012	On the 7/3/2012 inspection report, the AK-CESCL number and expiration date of the DOT&PF inspector was incorrectly provided. The number and expiration date provided were DOT-12-048 and 3/28/2015 when the correct number and expiration date are 53 and	11/4/2012.	7c2 - Inspector Qualifications	On the 7/17/2012 inspection report, the AK-CESCL number and expiration date provided for the DOT&PF inspector was correct.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
JNU- Glacier Hwy North Eagle Beach Kayak Launch to Bessie Creek	AKR10DV29	Southeast	7/10/2012	7/17/2012	On the 7/10/2012 inspection report, the AK-CESCL number and expiration date of the DOT&PF inspector was incorrectly provided. The number and expiration date provided were DOT-12-048 and 3/28/2015 when the correct number and expiration date are 53 and	11/4/2012.	7c2 - Inspector Qualifications	On the 7/17/2012 inspection report, the AK-CESCL number and expiration date provided for the DOT&PF inspector was correct.	
JNU- Glacier Hwy North Eagle Beach Kayak Launch to Bessie Creek	AKR10DV29	Southeast	7/24/2012	7/31/2012	On the 7/24/2012 inspection report, the AK-CESCL number of the DOT&PF inspector was incorrectly provided. The number provided was AGC-11-014 when the correct number was AGC-11-0144.		7c2 - Inspector Qualifications	On the 7/31/2012 inspection report, the AK-CESCL number for the DOT&PF inspector was correct.	
JNU- Glacier Hwy North Eagle Beach Kayak Launch to Bessie Creek	AKR10DV29	Southeast	8/14/2012	8/15/2012	On the 8/13/2012 inspection report, a corrective action to a rock filter dam at 604+30 had a complete-by date of 8/13/2012 and this date was not met.		8a - Completion of BMP Action Items by Date	The corrective action log shows that the rock filter dam was installed on 8/15/2012.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
JNU- Glacier Hwy North Eagle Beach Kayak Launch to Bessie Creek	AKR10DV29	Southeast	8/28/2012	9/4/2012	On the 8/28/2012 inspection report, one of the storm events that occurred since the last inspection was not reported.		7c4 - Weather and Discharges Since Last Inspection	On the 9/4/2012 inspection report, all the storm events that occurred since the last inspection were correctly reported.	
JNU- Glacier Hwy North Eagle Beach Kayak Launch to Bessie Creek	AKR10DV29	Southeast	10/16/2012	10/16/2012	A second inspection was not conducted during the 7-day period of 10/9/2012 to 10/16/2012 as required by the CGP during periods of relatively continuous rain.		7b - Inspection Frequency	The next regular inspection was conducted on 10/16/2012.	
Juneau Glacier Hwy Extension Echo Cove to Cascade Creek	AKR10DX18	Southeast	7/3/2012	7/10/2012	On the 7/3/2012 inspection report, the corrective action identified to secure the portable toilet did not include a location.		7c7 - Location of BMPs - Maintenance	On the 7/10/2012 inspection report, locations were provided for all BMPs needing maintenance. The corrective action log documents that the portable toilet was secured on 7/10/2012.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Juneau Glacier Hwy Extension Echo Cove to Cascade Creek	AKR10DX18	Southeast	7/3/2012	7/17/2012	On the 7/3/2012 inspection, the Contractor's SWPPP Manager did not provide their correct AK-CESCL expiration date. The expiration date provided was 12/1/2014 when the correct date is 1/26/2014.		7c2 - Inspector Qualifications	The Contractor's SWPPP Manager provided their correct AK-CESCL expiration date on the 7/17/2012 inspection report.	
Juneau Glacier Hwy Extension Echo Cove to Cascade Creek	AKR10DX18	Southeast	7/10/2012	7/17/2012	On the 7/10/2012 inspection report, the AK-CESCL expiration date of the Contractor's SWPPP Manager was incorrectly provided. The expiration date provided was 12/1/2014 when the correct expiration date is 1/26/2014.		7c2 - Inspector Qualifications	On the 7/17/2012 inspection report, the AK-CESCL number and expiration date provided for the Contractor's SWPPP Manager was correct.	
Juneau Glacier Hwy Extension Echo Cove to Cascade Creek	AKR10DX18	Southeast	10/3/2012	10/23/2012	A post-storm event inspection should have been conducted within 2 business days of the end of a storm event on 10/1/2012, while the project was on a reduced inspection frequency schedule. The inspection was not conducted.		7b - Inspection Frequency	The next regular inspection was conducted on 10/23/2012.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Klawock to Hollis Pavement Rehabilitation	AKR10ER23	Southeast	10/11/2012	10/16/2012	On the 10/11/2012 inspection report, the storm event information since the last inspection was reported incorrectly.		7c4 - Weather and Discharges Since Last Inspection	The 10/16/2012 inspection report correctly reported the storm events for the period since the last inspection.	
Klawock to Hollis Pavement Rehabilitation	AKR10ER23	Southeast	11/6/2012	11/8/2012	On the 11/6/2012 inspection report, no weather information for the period since the last inspection was provided.		7c4 - Weather and Discharges Since Last Inspection	On the 11/8/2012 inspection report, weather information for the period since the last inspection was provided.	
Klawock to Hollis Pavement Rehabilitation	AKR10ER23	Southeast	11/14/2012	11/15/2012	The 11/8/2012 inspection report identified a corrective action to remove a wattle and clear sediment build up at the outfall P163 by 11/13/2012 and this date was not met.		8a - Completion of BMP Action Items by Date	The 11/15/2012 inspection report documents that the wattle and sediment build up were removed.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Klawock to Hollis Pavement Rehabilitation	AKR10ER23	Southeast	11/17/2012	11/18/2012	The 11/15/2012 inspection report identified an action to add stakes to the wattle at STA 613+00 by 11/16/2012 and this date was not met.		8a - Completion of BMP Action Items by Date	The corrective action log shows that the stakes were added to the wattle on 11/18/2012.	
Klawock to Hollis Pavement Rehabilitation	AKR10ER23	Southeast	11/17/2012	11/18/2012	The 11/15/2012 inspection report identified an action to add a rock berm to the settling pond at STA 615+00 by 11/16/2012 and this date was not met.		8a - Completion of BMP Action Items by Date	The corrective action log shows that the rock berm was added on 11/18/2012.	
Klawock to Hollis Pavement Rehabilitation	AKR10ER23	Southeast	11/22/2012	11/27/2012	The 11/20/2012 inspection report identified an action to delineate the quarry boundaries at STA 615+00 PL by 11/21/2012 and this date was not met.		8a - Completion of BMP Action Items by Date	The corrective action log shows that the quarry was delineated on 11/27/2012.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Klawock to Hollis Pavement Rehabilitation	AKR10ER23	Southeast	12/10/2012	12/11/2012	On the 12/10/2012 inspection report, the AK-CESCL number and expiration date of the Contractor's SWPPP Manager was incorrectly provided. The correct AK-CESCL number should have been CEF-12-0506 with an expiration date of 7/12/2015.		7c2 - Inspector Qualifications	On the 12/11/12 inspection report, the AK-CESCL number and expiration date of the Contractor's SWPPP Manager was correct.	
Klawock to Hollis Pavement Rehabilitation	AKR10ER23	Southeast	12/11/2012	12/17/2012	On the 12/11/2012 inspection report, the weather information since the last inspection incorrectly reported the total precipitation for a storm event.		7c4 - Weather and Discharges Since Last Inspection	The 12/17/2012 inspection report correctly reported the storm events in the weather information since the last inspection.	
Petersburg Road Improvements	AKR10EF82	Southeast	9/4/2012	9/14/2012	The DOT Project Engineer did not certify the SWPPP prior to construction activities starting (9/4/2012).		6a2 - PE SWPPP Certification	On 9/14/2012, the Project Engineer certified the SWPPP.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Petersburg Road Improvements	AKR10EF82	Southeast	9/12/2012	9/18/2012	A storm event duration and approximate amount of rainfall was incorrectly reported on the 9/12/2012 inspection report.		7c4 - Weather and Discharges Since Last Inspection	On the 9/18/2012 inspection report, all storm events were correctly reported.	
Sitka Rocky Gutierrez Airport RSA (2)	AKR10EA53	Southeast	4/24/2012	4/30/2012	An amendment was made by a person whose name and AK-CESCL information was not included in the SWPPP.		6a3 - SWPPP Amendments	The next amendment to the SWPPP was made by a person whose name and AK-CESCL information was included in the SWPPP.	
Sitka Rocky Gutierrez Airport RSA (2)	AKR10EA53	Southeast	5/9/2012	5/10/2012	The 5/9/2012 inspection report was not signed by the Contractor's designated Superintendent.		7c11 - Certification of the Inspection	The 5/10/2012 inspection report was signed by the Contractor's designated Superintendent.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Sitka Rocky Gutierrez Airport RSA (2)	AKR10EA53	Southeast	5/15/2012	5/24/2012	The 5/15/2012 inspection report was not signed by the Contractor's designated Superintendent.		7c11 - Certification of the Inspection	The 5/24/2012 inspection report was signed by the Contractor's designated Superintendent.	
Sitka Rocky Gutierrez Airport RSA (2)	AKR10EA53	Southeast	5/17/2012	5/24/2012	The 5/17/2012 inspection report was not signed by the Contractor's designated Superintendent.		7c11 - Certification of the Inspection	The 5/24/2012 inspection report was signed by the Contractor's designated Superintendent.	
Wrangell Road and Utility Improvements	AKR10DQ99	Southeast	3/27/2012	3/27/2012	An inspection was not conducted by 3/26/2012, or within 7 days of the last inspection on 3/19/2012 as required by the CGP.		7b - Inspection Frequency	The inspection was conducted on 3/27/2012.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Wrangell Road and Utility Improvements	AKR10DQ99	Southeast	7/10/2012	7/17/2012	The 7/10/2012 inspection report was not signed by the Contractor's designated Superintendent.		7c11 - Certification of the Inspection	The 7/17/2012 inspection report was signed by the Contractor's designated Superintendent.	
Wrangell Road and Utility Improvements	AKR10DQ99	Southeast	8/13/2012	8/27/2012	On the 8/13/2012 inspection report, the DOT inspector provided an incorrect AK-CESCL number (CEF-12-0348 instead of CEF-12-0342).		7c2 - Inspector Qualifications	On the 8/27/2012 inspection report, both inspectors provided the correct AK-CESCL information.	
Wrangell Road and Utility Improvements	AKR10DQ99	Southeast	8/14/2012	8/27/2012	On the 8/14/2012 inspection report, the DOT inspector provided an incorrect AK-CESCL number (CEF-12-0348 instead of CEF-12-0342).		7c2 - Inspector Qualifications	On the 8/27/2012 inspection report, both inspectors provided the correct AK-CESCL information.	

Project Name	DOTPF NOI Tracking #	DOTPF Region	Date Non-Compliance Began	Date of Return to Compliance	Description of Non-Compliance	Non-Compliance, cont	Applicable Consent Decree Section	Description of Corrective Action	Corrective Action, cont
Wrangell Road and Utility Improvements	AKR10DQ99	Southeast	8/21/2012	8/27/2012	On the 8/21/2012 inspection report, the DOT inspector provided an incorrect AK-CESCL number (CEF-12-0348 instead of CEF-12-0342).		7c2 - Inspector Qualifications	On the 8/27/2012 inspection report, both inspectors provided the correct AK-CESCL information.	